# Neutrinos and matter-antimatter asymmetry a unification perspective

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All about neutrinos! TIFR webinar 20-5-2020

### 1 Outline

- Why neutrinos oscillate
- What is matter anti-matter asymmetry
  - How neutrinos become important
- What is unification?
  - To unification via the see-saw mechanism in neutrino physics

### **2** Oscillation of neutrinos

Two level system

$$i\frac{\partial}{\partial t} \left( \begin{array}{c} \varphi_a \\ \varphi_b \end{array} \right) \!=\! \left( \begin{array}{cc} \varepsilon_a & V \\ V & \varepsilon_b \end{array} \right) \! \left( \begin{array}{c} \varphi_a \\ \varphi_b \end{array} \right)$$

 $\varphi_a$  and  $\varphi_b$  the observationally preferred eigenstates

But the complete Hamiltonian "mixes" them through V term



#### Neutrinos :

- Observationally preferred -> Weak interaction basis
- Free propagation -> mass eigenbasis
- Also, emission in momentum eigenstate and not energy

#### 2.1 The mass matrix

The equations of motion can be derived from variation of a Lagrangian density.

$$\mathcal{L} = i \sum_{a} \overline{\psi_{a}} \gamma^{\mu} \frac{\partial}{\partial x^{\mu}} \psi_{a} - m \sum_{a,b} \overline{\psi}_{a} M_{ab} \psi_{b}$$

The equations of motion  $:\frac{\delta \mathcal{L}}{\delta \bar{\psi}_a} = 0$  and  $\frac{\delta \mathcal{L}}{\delta \psi_a} = 0$ 

Need to diagonalise mass matrix  $M_{_{a\,b}}$  to identify mass eigenbasis species.

#### 2.2 Majorana mass term

The 4-component Dirac equation contains Left helicity and Right helicity components,  $\psi_{R}$  and  $\psi_{L}$  each 2-component.

Mass matrix 
$$\sum_{ab} M_{ab}^{\text{Dir}} \overline{\psi}_{La} \psi_{Rb} + h.c.$$

Majorana case : Particle its own antiparticle like photons

- 2 dof; fermion number can be violated in units of 2
- Mass matrix  $\sum_{a\,b}M^{\rm Maj}_{a\,b}\psi^{\rm T}_{{\scriptscriptstyle L}\,a}{\cal C}\psi_{{\scriptscriptstyle L}\,b}$  and separately for  $\psi_{{\scriptscriptstyle R}}$ 
  - We return to this theme later

### 3 Matter anti-matter asymmetry

- No smoking gun signs of anti-matter
- Uniform distribution of galaxies with irregularities within statistical tolerance
- Where are all the anti-protons? .... and positrons?
- "Baryon to photon ratio" :  $\eta = \frac{n_B n_{\overline{B}}}{s_{\gamma}} = 5 \times 10^{-10}$ 
  - From calculation using laboratory fusion rates
  - Observation of interstellar abundances







Springel et al *Nature* 2006

Surveys upper left simulations lower right



The great walls

#### 3.1 Cosmology becomes a science

The Universe is not willed as a simplistic design ...

.... its simplicity is the outcome elegant dynamics

- Einstein believed in static Newtonian universe
- Friedmann 1921 22 found dynamical solutions
- Edwin Hubble boldly drew the straight line 1929 ....
- LeMaitre grasped it all 1926 but shared cautiously





#### Edwin Hubble

#### Henrietta Leavitte

### 3.2 Particle cosmology is born ...

- 1920's the decade of expanding Universe
  - Friedmann, Hubble, LeMaitre ...
- 1948-49 Nucleosynthesis and CMB in "Big Bang" universe
  - Alpher "Bethe" and Gamow; Alpher and Herman
- 1964-65 : the classic year
  - Accidental discovery of cosmic microwave background
  - Discovery of CP violation in K-meson decays

3.3 The cosmology – nuclear physics connection

- Alpher, "Bethe" and Gamow paper estiamtes He to H ratio 1948
- Alpher and Herman estimate 5K as the temperature of residual photons 1949

One concerns the MeV scale, the other concerns the eV scale!







#### Gamow; Alpher; Herman

#### Discovery of CP violation at Brookhaven National Lab 1964



#### (schematic courtsy hyperphysics website Georgia State U.)



#### Val Fitch and James Cronin Nobel 1980

#### Cosmic Microwave Background Radiation discovered 1965

#### **DISCOVERY OF COSMIC BACKGROUND**



Arno Penzias

#### Nobel 1978

MAP990045

Robert Wilson

## 4 Genesis of Baryogenesis

(Sakharov 1967; Yoshimura; Weinberg 1978)

- 1. There should exist baryon number B violating interaction
- 2. Charge conjugation C must be violated
- 3. CP violation unequal forward and reverse rates
- 4. Out of equilibrium conditions so that reverse reactions don't get the time to equilibrate

#### 4.1 Realisation in Grand Unified Theories

- GUTs have the required B violation, C and CP violation
- Out of equilibrium requires that Hubble rate should be fast enough to compete with particle physics decay rates

$$\Gamma_{\!_X} \cong \alpha_{\!_X} m_{\!_X}^2 / T; \qquad \qquad H \cong g_*^{1/2} T^2 / M_{_{\rm Pl}}$$

• Realised only for GUT scale close to Planck scale

Soon, by 1990, protons not decaying :-( or may be :-) No GUT BGenesis :-( :-(

#### Summary so far ....

Neutrinos oscillations governed by a "mass matrix".

Big Bang confirmation and discovery of  ${\cal CP}$  violation almost simultaneous

B violation at GUT energy scales  $+\ CP$  violation can explain the matter-anti-matter asymmetry

But B violating proton decays are not yet seen

#### 4.2 Leptogenesis instead ....

### (M. Fukugita and T. Yanagida 1986)

- Majorana masses and phases in neutrino mass matrix
- Out of equilibrium decay of heavy Majorana neutrinos



• Why should Majorana masses be at GUT scale?

### 5 Neutrinos as link to the high scale

Recall Majorana construction  $\psi_L^C \equiv C \psi_L^*$ 

$$\mathcal{L}^{^{\mathrm{Maj}}} \sim m_{_{L}} \left( \overline{\psi_{_{L}}^{C}} \psi_{_{L}} + \overline{\psi_{_{L}}} \psi_{_{L}}^{C} \right) + M_{_{R}} \left( \overline{\psi_{_{R}}^{C}} \psi_{_{R}} + \overline{\psi_{_{R}}} \psi_{_{R}}^{C} \right)$$

while Dirac mass term

$$\mathcal{L}^{\rm Dir} \sim m_{\rm D} (\overline{\psi_{\rm R}} \psi_{\rm L} + \overline{\psi_{\rm L}} \psi_{\rm R})$$

#### 5.1 General mass matrix – the "see-saw" mechanism

we need to diagonalise the mass matrix :

$$\begin{array}{c} \psi_{L} \ \psi_{R} \\ \hline \overline{\psi}_{L} \\ \overline{\psi}_{R} \end{array} \begin{pmatrix} \mathcal{C}m_{L} \ m_{D} \\ m_{D} \mathcal{C}M_{R} \end{pmatrix} \end{array}$$

The eigenvalues are  $m_{_1}\!\simeq\!M_{_R}\,{\rm and},$ 

$$m_{_{2}} \simeq -\frac{m_{_{D}}^{2}}{M_{_{R}}} + O\!\left(\frac{m_{_{L}}}{M_{_{R}}}\right) \simeq 0.1 \text{eV}\!\left(\frac{m_{_{D}}}{100\,\text{GeV}}\right)^{2}\!\left(\frac{10^{14}\text{GeV}}{M_{_{R}}}\right)$$



#### 5.2 Unification scale - the "running" couplings

Couplings are not constants

The theory makes perturbative sense only if

we agree to rescale the couplings with

energy scale of the scattering experiment.

$$\frac{1}{\alpha_i(Q^2)} = \frac{1}{\alpha_i(M_Z^2)} - 4\pi b_i \ln\!\left(\frac{Q^2}{M_Z^2}\right)$$



**Dreams of Grand Unification** (not yet realised) Pati-Salam, Georgi Glashow; Georgi Quinn and Weinberg



Suggests that the see-saw scale may originate in GUT

### T2K results (Nature 2020)





The CP-conserving points are not both excluded at the 99.73% level. However, ...a large range of values around  $+\pi/2$  [is] excluded.

### 6 Conclusion

- The full neutrino mass matrix with Dirac and Majorana terms
  - mixing of flavours; CP violating phases
  - violation of lepton number L
- Early Universe creation of matter asymmetry : L and CP violating decays competing with Hubble rate H.
- See-saw can give a mass scale with which H can compete
- See-saw scale highly suggestive of GUT —> Thanks page

THANK YOU

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